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|   | TF   |   |  | ER TO THE UNITED STATES                        | ATTORNEY'S DOCKET NO.                             |  |  |  |  |  |  |  |  |
|---|--|---|--|--|---|--|--|--|--|--|--|--|--|
|   |  |   |  | CTED OFFICE (DO/EO/US)                         | U.S. APPUCATION NO. (If known, see 37 C.F.R. 1.5) |  |  |  |  |  |  |  |  |
|   | COI  | NCERN   | ING A SUB  | MISSION UNDER 35 U.S.C. 371                    | 10/551944   |  |  |  |  |  |  |  |  |
| 1   |  | ATIONAL /   | APPLICATION N  | O. INTERNATIONAL FILING DATE April 9, 2004     | PRIORITY DATE CLAIMED April 11, 2003              |  |  |  |  |  |  |  |  |
| TIT   | LE O   | F INVENT  | ION  |  |   |  |  |  |  |  |  |  |  |
| PO  | POLYORGANOSILOXANE-CONTAINING GRAFT COPOLYMER, RESIN COMPOSITIONS CONTAINING THE SAME AND PROCESS FOR PRODUCTION OF POLYORGANOSILOXANE EMULSIONS |   |  |  |   |  |  |  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US Tomomichi Hasgimoto et al.  |  |   |  |  |   |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: |  |   |  |  |   |  |  |  |  |  |  |  |  |
| 1.  | $\boxtimes$  | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371   |  |  |   |  |  |  |  |  |  |  |  |
| 2.  |  | This is a   | SECOND or SU   | BSEQUENT submission of items concerning        | a filing under 35 U.S.C. 371                      |  |  |  |  |  |  |  |  |
| 3.  | ⊠<br>(21)  | This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9), and i) indicated below.  |  |  |   |  |  |  |  |  |  |  |  |
| 4.  |  | The US has been elected (Article 31).   |  |  |   |  |  |  |  |  |  |  |  |
| 5.  | $\boxtimes$  | A copy of the International Application as filed (35 U.S.C. 371(c)(2)).   |  |  |   |  |  |  |  |  |  |  |  |
|   |  | a.  | is tra   | nsmitted herewith (required only if not transm | itted by the International Bureau).               |  |  |  |  |  |  |  |  |
|   |  | b. 🛛 has been transmitted by the International Bureau.  |  |  |   |  |  |  |  |  |  |  |  |
|   |  | c. is not required, as the application was filed in the United States Receiving Office (RO/US).   |  |  |   |  |  |  |  |  |  |  |  |
| 6.  | $\boxtimes$  | An English translation of the International Application into English (35 U.S.C. 371(c)(2)).   |  |  |   |  |  |  |  |  |  |  |  |
|   |  | a.  | ⊠ is att   | ached hereto.                                  |   |  |  |  |  |  |  |  |  |
|   |  | b.  | _  | peen previously submitted under 35 U.S.C. 15   |   |  |  |  |  |  |  |  |  |
| 7.  | $\boxtimes$  | Amendm  | _  | s of the International Application under PCT   |   |  |  |  |  |  |  |  |  |
|   |  | a. are transmitted herewith (required only if not transmitted by the International Bureau).   |  |  |   |  |  |  |  |  |  |  |  |
|   |  | b. have been transmitted by the International Bureau.   |  |  |   |  |  |  |  |  |  |  |  |
|   |  | C.  | c. have not been made; however, the time limit for making such amendments has NOT expired. |  |   |  |  |  |  |  |  |  |  |
|   | ٠,   | d.  |  | not been made and will not be made.            |   |  |  |  |  |  |  |  |  |
| 8.  |  | An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  |  |  |   |  |  |  |  |  |  |  |  |
| 9.  | Ä  | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).  |  |  |   |  |  |  |  |  |  |  |  |
| 10.   |  | 36 (35 U.S.C. 371(c)(5)) and/or amendments under Article 34.  |  |  |   |  |  |  |  |  |  |  |  |
|   |  |   |  | er document(s) or information included:        |   |  |  |  |  |  |  |  |  |
|   | _  | An Information Disclosure Statement under 37 CFR 1.97 and 1.98.   |  |  |   |  |  |  |  |  |  |  |  |
| 12.   |  | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |  |   |  |  |  |  |  |  |  |  |
| 13.   | Ø  | A preliminary amendment.  |  |  |   |  |  |  |  |  |  |  |  |
| 14.   |  | An Application Data Sheet under 37 CFR 1.76.  |  |  |   |  |  |  |  |  |  |  |  |
| 15.   |  | A substitute specification.   |  |  |   |  |  |  |  |  |  |  |  |
| 16.   |  | A power of attorney and/or change of address letter.  |  |  |   |  |  |  |  |  |  |  |  |
| 17.   |  | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.  A second copy of the published international application under 35 U.S.C. 154(d)(4). |  |  |   |  |  |  |  |  |  |  |  |
| 18.   |  | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).   |  |  |   |  |  |  |  |  |  |  |  |
| 19.<br>20.  | _  | Other items or information: Form PTO-1449, Copies of Cited References and Return Receipt Postcard.  |  |  |   |  |  |  |  |  |  |  |  |
| 20.   |  | Other items or information: Form P10-1449, Copies of Cited References and Return Receipt Postcard.  |  |  |   |  |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to process) an application. Confidentiality is governed by 35 U.S.C. 12 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 min complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual/comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPANDESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

~4 Field

## JC05 Rec'd PCT/PTO 0 4 0CT 2003

| U.S. APPLICATION   | NO. (Itan   | ATTORNEY'S DOCKET NO.                     |                       |         |  |                               |                        |  |  |  |  |
|--|---|---|-----------------------|---------|--|-------------------------------|------------------------|--|--|--|--|
|  | T.  | 01 221 944                                | PCT/JP2004/0511       | 11      |  | 5404/121                      |                        |  |  |  |  |
| 21.   The follo  | wing fees   | CALCULATIONS                              | PTO USE ONLY          |         |  |                               |                        |  |  |  |  |
|  | -   | \$300.00                                  |                       |         |  |                               |                        |  |  |  |  |
| M b) Examination fe  | 1 <del></del>   | \$200.00                                  |                       |         |  |                               |                        |  |  |  |  |
|  |   | \$500.00                                  | B                     |         |  |                               |                        |  |  |  |  |
| <b>_</b> ,   |   |   |                       |         |  |                               |                        |  |  |  |  |
|  | <b>TOTAL OF</b>   |   |                       |         |  |                               |                        |  |  |  |  |
| ☐ Additional fee for :   | specification   |   |                       |         |  |                               |                        |  |  |  |  |
| program listing filed in   | an electronic   | \$  |                       |         |  |                               |                        |  |  |  |  |
| Surcharge of \$130.00  | for furnishing  |   |                       |         |  |                               |                        |  |  |  |  |
| Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). |   |   |                       |         |  |                               |                        |  |  |  |  |
| Claims   |   | Number Filed                              | Number Extra          | Т       | Rate   |                               |                        |  |  |  |  |
| Total Claims   |   | 22- 20 =                                  |                       | 2       | x \$ 50.00   | \$100.00                      |                        |  |  |  |  |
| Independent Claims   |   | 2- 3 =                                    |                       | 0       | x \$ 200.00  | \$0.00                        |                        |  |  |  |  |
| Multiple dependent cla   | im(s) if Appli  | cable)                                    | 0 + \$360.00          |         |  | \$0.00                        |                        |  |  |  |  |
|  |   | \$1100                                    | ,                     |         |  |                               |                        |  |  |  |  |
| TOTAL OF ABOVE CALCUATIONS = \$1100  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.         |   |   |                       |         |  |                               |                        |  |  |  |  |
|  |   | SUBTOTAL =                                | \$1100                |         |  |                               |                        |  |  |  |  |
| Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed                           |   |   |                       |         |  |                               |                        |  |  |  |  |
| priority date (37 CFR 1  | 1.492(f)).  |   | \$                    |         |  |                               |                        |  |  |  |  |
|  |   |   |                       |         | OTAL NATIONAL FEE=                                   | \$1100                        |                        |  |  |  |  |
| Fee for recording t  | the enclosed  | assignment (37 CFR 1.21(h)). The a        | assignment must be ac | ccomi   | panied by an appropriate  1), \$40.00 per property + |                               |                        |  |  |  |  |
|  |   | \$1100                                    |                       |         |  |                               |                        |  |  |  |  |
|  |   | TAL FEES ENCLOSED=                        | Amount to be refunded | \$      |  |                               |                        |  |  |  |  |
|  |   |   | charged               | \$      |  |                               |                        |  |  |  |  |
| a. 🛛   | A check in  | the amount of \$1100 to cover the ab      | ove fees is enclosed  |         |  | Glaiged                       |                        |  |  |  |  |
| u. 23  | 71 011001111  | 010 unioun of <u>1100</u> to 00001 ulo ul |                       |         |  |                               |                        |  |  |  |  |
| b. 🗆   | Please charge my Deposit Account No. 23-1925 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.   |   |                       |         |  |                               |                        |  |  |  |  |
| c. 🔯   | The Com   | missioner is bereby authorized to         | chame any additiona   | l fee   | which may be require                                 | d or credit any overnavme     | ent to Denosit Account |  |  |  |  |
| v. <u>L</u>  | c.   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed. |   |                       |         |  |                               |                        |  |  |  |  |
|  |   | ,   |                       |         |  |                               |                        |  |  |  |  |
| d. 🗆   |   | o be charged to a credit card. WAR        |                       |         |  | Credit card information s     | hould not be included  |  |  |  |  |
|  | on this form. Provide credit card information and authorization on PTO-2038.  |   |                       |         |  |                               |                        |  |  |  |  |
| NOTE 14#   |   |   |                       |         |  | **                            |                        |  |  |  |  |
|  |   | limit under 37 CFR 1.494 or 1.495         | has not been met, a p | petitic | on to revive (37 CFR 1.13)                           | (a) or (b)) must be filed and | granted to restore the |  |  |  |  |
| application to pending status.   |   |   |                       |         |  |                               |                        |  |  |  |  |
| Send All Correspondence to:  |   |   |                       |         |  |                               |                        |  |  |  |  |
| Brinks Hofer Gilson & Lione  |   |   |                       |         |  |                               |                        |  |  |  |  |
| •  |   | P.O. Box 10395                            |                       | //      |  |                               |                        |  |  |  |  |
|  | C   | hicago, IL 60610                          |                       | LL      | ^  | 1                             |                        |  |  |  |  |
| Signature und MM   |   |   |                       |         |  |                               |                        |  |  |  |  |
|  |   | ///////                                   |                       |         |  |                               |                        |  |  |  |  |
| Custor   | ner Number (  | 11  |                       |         |  |                               |                        |  |  |  |  |
| •  |   | <u>V</u>                                  |                       |         |  |                               |                        |  |  |  |  |
|  |   |   | li Re                 | yıstra  | tion Number 19,795                                   |                               |                        |  |  |  |  |